

111903
16805

U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	SP03-162	Total Pages	2
First Named Inventor or Application Identifier: Karl W. Koch, et al			
Title : ACTIVE PHOTONIC BAND-GAP OPTICAL FIBER			
Express Mail Label No.	EV 327190385 US		

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on 11/19/03

(Date)

Signature Kerril Huston

"EXPRESS MAIL" Mailing Label No. EV 327190385 US

1. * Fee Transmittal Form
(Submit an original and a duplicate for fee processing)

2. Specification [Total Pages 41]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

3. Drawing(s) (35 U.S.C. § 113) [Total Sheets 18]

4. Oath or Declaration [Total Pages 2]
 a. Executed (original or copy)
 b. Copy from a prior application (37 C.F.R. § 1.63(d))
 (for continuation/divisional with Box 16 completed)
 i. **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

ADDRESS TO:
 Mail Stop Patent Application
 Commissioner of Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

5. Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 a. Computer Readable Copy
 b. Paper Copy (identical to computer copy)
 c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
 8. 37 C.F.R. § 3.73(b) Statement Power of Attorney
 (when there is an assignee)
 9. English Translation Document (if applicable)
 10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
 Citations (non US)
 11. Preliminary Amendment
 12. Return Receipt Postcard (MPEP 503)
 (should be specifically itemized)
 13. Certified Copy of Priority Document(s)
 14. Priority Document(s)
 (if foreign priority is claimed)
 15. Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional. Continuation-in-part (CIP) of prior application No

Prior application information: Examiner:

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 22928 or Correspondence address below

NAME					
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	607-974-6574	FAX	(607) 974-3848
Name (Print/Type)	Juliana Agon	Registration No. (Attorney/Agent)			33,468
Signature	<u>Juliana Agon</u>		Date	11/19/03	

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10/7/16892

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FEE TRANSMITTAL for FY 2003

TOTAL AMOUNT OF PAYMENT (\$788.00)

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Karl W. Koch, et al.
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2. Payment Enclosed:
 Check Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	Surcharge - late filing fee or oath	
1052 50	Surcharge - late provisional filing fee or cover sheet	
1053 130	Non-English specification	
1812 2,520	For filing a request for reexamination	
1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840	Requesting publication of SIR after Examiner action	
1251 110	Extension for reply within first month	
1252 420	Extension for reply within second month	
1253 950	Extension for reply within third month	
1254 1,480	Extension for reply within fourth month	
1255 2,010	Extension for reply within fifth month	
1401 330	Notice of Appeal	
1402 330	Filing a brief in support of an appeal	
1403 290	Request for oral hearing	
1451 1,510	Petition to institute a public use proceeding	
1452 110	Petition to revive - unavoidable	
1453 1,330	Petition to revive - unintentional	
1501 1,330	Utility issue fee (or reissue)	
1502 480	Design issue fee	
1503 640	Plant issue fee	
1460 130	Petitions to the Commissioner	
1807 50	Petitions related to provisional applications	
1806 180	Submission of Information Disclosure Stmt	
8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
1810 770	For each additional invention to be examined (37 C.F.R. § 1.129(b))	
1801 770	Request for Continued Examination (RCE)	
1802 900	Request for expedited examination of a design application	

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)**

Completed (if applicable)

SUBMITTED BY			
Name (Print/Type)	Juliana Agon	Registration No. (Attorney/Agent)	33,468
Signature	<i>Juliana Agon</i>	Date	11/19/03